

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Richard J. Greff

**SERIAL NO.:** 

10/068,812

FILING DATE:

-2/4/02

TITLE:

CROSS-LINKED GELATIN COMPOSITION COMPRISING A

**WETTING AGENT** 

**EXAMINER:** 

Ghali, Isis D

ART UNIT:

1615

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class

Mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria,

VA 22313-1450, on the date printed below:

Date: June 2004

Name:

Ruth Rodriquez

COMMISSIONER FOR PATENTS P.O. Box 1450, ALEXANDRIA, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached (unless the blanket waiver referred to below applies), may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to review, consider and document each such item in the official record of this application.

Note: If this box is checked, this case was filed after June 30, 2003 and qualifies for the blanket waiver of deposit of copies of U.S. Patents and U.S. Patent Application

Publications in accordance with the written waiver of 37 CFR §1.98 (a)(2)(i) dated July 11, 2003. Accordingly, such copies are not attached.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

Ι

This statement is filed pursuant to (CHECK ONE BOX):

 $\boxtimes$ 37 C.F.R. § 1.97(b). This information disclosure statement is filed either: (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; (3) before the mailing date of a first office action on the merits; or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114, whichever event occurs last. Accordingly, this information disclosure statement requires no fee and no certification. 37 C.F.R. § 1.97(c). This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of any of the following: (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application. Accordingly, this information disclosure statement requires either: (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure

- (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c); or
  - (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).

## 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires:

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); and
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

	II
Fees Due (C	CHECK ONE BOX):
	No fee is due.
	The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180).
	III
Certification	(CHECK ONE BOX):
	No certification is necessary.
	Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies:  That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
	Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies:  No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this information disclosure statement.

Docket No. 034298-122

IV

I hereby declare that all statements made herein of my own knowledge are true and that

all statements made on information and belief are believed to be true; and further that

these statements were made with the knowledge that willful false statements and the like

so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18

of the United States Code and that such willful false statements may jeopardize the

validity of the application or any patent issued thereon.

Please charge any additional required fee or credit any overpayment to our deposit

account number 50-1698.

Respectfully submitted,

THELEN REID & PRIEST LLP

Dated: June 30, 2004

Adrienne Yeung

Reg. No. 44,000

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JUL 0 2 2004 Form PTQ 5/449

**SUB-Q 1449 US** 

Form PTQ	449 U.S. Departmen Patent and Trade			Atty. Doc 034298-1		Serial No 10/068,8	
	Information Disclosure			Applican	t: Richard J	. Greff	
	into mation Disclosur	Btatement	у Аррисанс	Filed: 2/	4/02	Group:	1615
	(Use several sh	eets if necess	ary)				
			U.S. Patent Docum	nents			
nit.	Document No.	Date	Name		Class	Subclass	Date
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

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Serial No. Atty. Docket No. 034298-122 10/068,812 U.S. Department of Commerce Patent and Trademark Office Applicant: Richard J. Greff **Information Disclosure Statement by Applicant** Filed: 2/4/02 Group: 1615 (Use several sheets if necessary) **U.S. Patent Documents** Class Subclass Init. Document No. Date Name Date 4/15/97 5,620,461 Wouter M. Muijs Van De Moer Nikhil K. Kundel 10/7/97 5,674,346 10/3/00 Shchervinsky et al 6,126,67 3/7/00 Benjamin Lee 6,033,427 5/2/00 6,056,768 Cates et al Wallace et al 5/23/00 6,066,325 7/18/00 6,090,130 Nash et al 4/02 6,371,974 Brenneman et al Cragg et al 8/02 6,440,151 8/02 Cragg et al 6,440,153 9/02 6,477,534 Cragg et al 1/03 Julian Lo 6,503,222 3/03 6,527,734 Cragg et al 4/03 Ashby et al 6,540,735 4/03 6,544,236 Cragg et al 8/03 6,610,026 Cragg et al Date Considered Examiner Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if

not in conformance and not considered. Include a copy of this form with the next communication to applicant.

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Inf	formation Disclosure Stat	ement by	Applicant	Applicant: R			
	(Use several sheets i	f necessary	y)	Filed: 2/4/02		Group: 1615	
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nit.	Document No.	Date	Name	Cla	iss	Subclass	Date
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**SUB-O 1449 FOREIGN** 

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Form PTO 4	9 U.S. Department of Commerce	Atty. Docket No. 034298-122	Serial No. 10/068,812	
	Patent and Trademark Office			
		Applicant: Richard J.	. Greff	
Info	rmation Disclosure Statement by Applicant			
		Filed: 2/04/02	Group: 1615	
	(Use several sheets if necessary)			

# **Foreign Documents**

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Init.	Document No.	Date	Country	Class	Subclass	Yes	No
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	WO 95/32679	12/95	PCT			X	
	WO 97/09934	3/97	PCT			X	
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Form PTG 449 (Rewass)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 034298-122	Serial No. 10/068,812
Info	rmation Disclosure Statement by Applicant	Applicant: Richard J.	. Greff
11110	(Use several sheets if necessary)	Filed: 2/4/02	Group: 1615
	Foreign Doc	uments	•
			Translation
	Other Documents (Including Author,  Berman, Howard L., et al, "Guided Direct Antegrade Ray Society, pgs 632-634 (September 1986)  Berman et al "Modification of the Cope Drainage	Puncture of the Superficial Femore Catheter to Facilitate Placem	al Artry," American Roantgen
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Form PT 1449 (Rever 32) U.S. Department of Commerce	Atty. Docket No. 034298-122	Serial No. 10/068,812						
(Rev. 232) U.S. Department of Commerce Patent and Trademark Office								
Information Disclosure Statement by Applicant	Applicant: Richard J.	Applicant: Richard J. Greff						
(Use several sheets if necessary)	Filed: 2/4/02	Group: 1615						
Other Documents (Including Author, Title	e, Date, Pertinent Pages,	etc.)						
OUR PENDING APPLICATIONS (125) Ashby, Mark et al; Serial No. 10/287,922; filed	d: Nov. 4, 2002; entitled: "	Apparatus And Method For						
Inhibiting Blood Loss (130) Ashby, Mark et al; Serial No. 10/069,107; filed: Dec	c. 16. 2002: entitled: Device	And Method For Determining A						
Depth Of An Incision"								
Hemostasis of Blood Vessel Punctures With Absorbable S	ponge"							
(152) Ashby, Mark et al; Serial No. 10/334,770; filed: De Facilitating Hemostasis with Absorbable Sponge"								
(154) Ashby, Mark et al; Serial No. 10/421,680; filed Apr Pull Technique"	. 22, 2003; entitled: "Puncture	e Closure System With Pin And						
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(160) Ashby, Mark et al, Serial No. 10/462,064; filed: Jun								
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Hemostasis Promoting Material To A Blood Vessel Puncti	(162) Ashby, Mark et al; Serial No. 10/461,035; filed: Jun. 13, 2003; entitled: "System And Method For Delivering Hemostasis Promoting Material To A Blood Vessel Puncture Site Using a Cannula"  (163) Ashby, Mark et al; Serial No. 10/461,006; filed: Jun. 13, 2003; entitled: "System and Method for Delivering							
Hemostasis Promoting Material to a Blood Vessel Punctur	e with a Staging Tube"							
(164) Ashby, Mark et al; Serial No. 10/460,859; filed: Jur (187) Ashby, Mark et al; Serial No. 10/732,441; filed								
Method for Delivering Hemostasis Promoting Mater  (190) Ashby, Mark et al; Serial No. 10/754,824; filed: Jan	ial to a Blood Vessel Punc	ture Site By Fluid Pressure"						
Device"	. 9, 2004, entitled. Sheath-iv							
Examiner	Date Consid	dered						
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